

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael C. Chen et al.                      Art Unit : I645  
Serial No. : 10/702,305                                      Examiner : Albert Mark Navarro  
Filed : November 6, 2003  
Title : COMPOSITIONS AND METHODS FOR TREATING OR PREVENTING  
PNEUMOCOCCAL INFECTION

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Applicants submit the references listed on the attached form PTO-1449.

Only copies of foreign patent documents and non-patent literature are enclosed. A copy of the listed U.S. patent can be provided upon request.

This statement is being filed before the receipt of a first Office Action on the merits.

No fee is believed due. Please apply any charges to deposit account 06-1050, referencing attorney docket 12844-002001.

Respectfully submitted,

Date: April 26, 2006

\Jianming Hao\  
Jianming Hao, Ph.D.  
Reg. No. 54,694

Fish & Richardson P.C.  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906